IBM DOCKET NUMBER: AUS920010519US1

SERIAL #:



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:

Brown et al.

Serial No.:

Filed:

Title: DISPLAYING TRANSPARENCY

CHARACTERISTIC AIDS

: Group Art Unit:

: Intellectual Property Law Department

: International Business Machines Corp.

11400 Burnet Road: Austin, Texas 78758

### **INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

Marilyn Smith Dawkins Attorney for Applicants Registration No 31,140

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# 200046102473

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In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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Serial No	Si Co
Applicant: Brown et al.	
Filing Date:	
Group:	
Atty. Docket No. AUS920010519US1	<u> </u>

Refer	Reference Designation U. S. PATENT DOCUMENTS					
Examiner <u>Initial</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	Sub- <u>class</u>	Filing Date <u>if</u> <u>Appropriate</u>
AA	us 5,463,775	10/31/95	System and Method for Performing Monitoring of Resources in a Data Processing System in Real Time	395	184.00 999999 999999	06/20/94
AB	US 5,572,672	11/05/96	Method and Apparatus for Monitoring Data processing System Resources in Real- Time	395	184.00 999999 999999	07/12/95
AC .	US 5,600,779	02/04/97	Method and Apparatus for Providing Visual Cues in a Graphic User Interface	395	340	06/07/95
AD	US 5,651,107	07/22/97	Method and Apparatus for Presenting Information in a Display System Using Transparent Windows	395	344	08/16/94
AE	US 5,764,229	06/09/98	Method of and System for Updating Dynamic Translucent Windows with Buffers	345	345	05/09/96
ĀF	US 5,825,355	10/20/98	Method and Apparatus for Providing a Help based Window System Using Multiple Access Methods	345	336	01/27/93
ĀG	US 5,859,639	01/12/99	Mechanism to Control Visible Presence of Desktop Objects in a Graphical User Interface	345	345	09/30/96
AH	US 5,889,530	03/30/99	Method and Apparatus for Dynamically Presenting Graphical Representation of Instrumentation	345	440	03/14/96

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			The Document House		0020020	013001
AI	US 5,917,492	06/29/99	Method and System for Displaying an Expandable Tree Structure in a Data Processing System Graphical User Interface	345	357	03/31/97
AJ	US 6,002,397	12/14/99	Window Hatches in Graphical User Interface	345	340	09/30/97
AK ·	US 6,002,400	12/14/99	Method and Apparatus for Handles to Components in Graphical Windows User Interface	345	348	11/19/97
AL	us 6,005,570	12/21/99	Graphical User Interface System and Methods for Improved User Feedback	345	338	10/20/97
AM	US 6,049,798	04/11/00	Real Time Internal Resource Monitor for Data Processing System	707	10	06/14/94

**FOREIGN PATENT DOCUMENTS** 

Examiner <u>Initial</u>	Document <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	Sub- <u>class</u>	Filing Date <u>if Appropriate</u>
BA	WO 00/14574	03/16/00	PCT	G01V	1/28	09/03/99
BB	JP 5134830A	12-16-92	Japan	G06F11	32	1

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <u>Initial</u>	<u>Author</u>	<u>Title</u>	<u>Date</u>	<u>Pertinent</u> <u>Pages</u>
CA	IBM Technical Disclosure Bulletin	"Balloon Windows for Supplementary Dialogues and Information"	vol 33, no 10A, March 1991	pp. 263-65.
CB	IBM Technical Disclosure Bulletin	"Translucent Windows: Dragging an Image without Obscuring the Desktop:",	vol 37, No. 10, October 1994	p. 15
cc	IBM Research Disclosure 431180	"Progressively animated graphical overlays"	March 2000	p. 592
CD	IBM DOCKET AUS920010513US1	DISPLAYING SPECIFIED RESOURCE USAGE		

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		IBM DOCKET N	UMBER: AUS9200105190S1
CE	IBM DOCKET AUS920010514US1	DISPLAYING TRANSPARENT RESOURCE AIDS	
CF	IBM DOCKET AUS920010515US1	SELECTIVELY ADJUSTING TRANSPARENCY OF WINDOWS WITHIN A USER INTERFACE	
CG	IBM DOCKET AUS920010516US1	CHANGING THE ALPHA LEVELS OF AN APPLICATION WINDOW TO INDICATE A STATUS OF A COMPUTING TASK	
СН	IBM DOCKET AUS920010517US1	ADJUSTING THE TINT OF A TRANSLUCENT WINDOW TO CONVEY STATUS	
CI	IBM DOCKET AUS920010518US1	ADJUSTING TRANSPARENCY OF WINDOWS TO REFLECT RECENT USE	
CJ	IBM DOCKET AUS920010520US1	SPECIFYING AUDIO OUTPUT ACCORDING TO WINDOW GRAPHICAL CHARACTERISTICS	
СК	IBM DOCKET AUS920010521US1	AUTOMATIC WINDOW REPRESENTATION ADJUSTMENT	
CL	IBM DOCKET AUS920010522US1	VARYING HEIGHTS OF APPLICATION IMAGES TO CONVEY APPLICATION STATUS	
СМ	IBM DOCKET AUS920010524US1	SELECTIVELY ADJUSTING THE TRANSLUCENCY OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION	
CN	IBM DOCKET AUS920010525US1	SELECTIVELY ADJUSTING THE ORDER OF WINDOWS IN RESPONSE TO A SCROLL WHEEL ROTATION	

Examiner:	Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.